

#5  
12  
7-29-02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of :

Kristin Gallina LOVEJOY et al. :

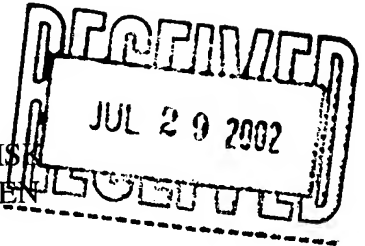
Serial No. 10/032,610 :

Group Art Unit: 2164 :

Filed: January 2, 2002 :

Examiner: unassigned :

For: OBJECT-ORIENTED METHOD, SYSTEM AND MEDIUM FOR RISK  
MANAGEMENT BY CREATING INTER-DEPENDENCY BETWEEN  
OBJECTS, CRITERIA AND METRICS

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RECEIVED**

JUL 24 2002

**GROUP 3600**

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

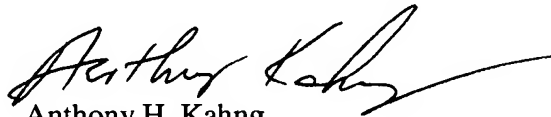
In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of

publication indicated for an item is taken from the face of the item, and Applicant reserves the right to prove that the date of publication is in fact different.

No certification or fee is believed to be required. However, the Commissioner is hereby authorized to charge any additional fees should any be required for this submission, or credit any overpayment to deposit account no. 08-0219.

Respectfully submitted,

HALE AND DORR LLP



Anthony H. Kahng  
Registration No. 42,704

1455 Pennsylvania Avenue, NW  
Washington, DC 20004

TEL 202.942.8477

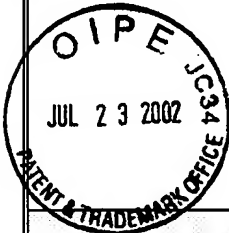
FAX 202.942.8484

Date: 7/23/2002

AHK/lde

**INFORMATION DISCLOSURE  
CITATION IN AN  
APPLICATION**

(PTO-1449)



ATTY. DOCKET NO.  
111370.141

SERIAL NO.  
10/032,610

APPLICANT  
Kristin Gallina LOVEJOY et al.

FILING DATE  
January 2, 2002

GROUP  
2164

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,621,889	04/15/97	Lermuzeaux et al.			06/08/94

**RECEIVED**

JUL 24 2002

**GROUP 3600**

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	FDIC. December 19, 1997. "Risk Assessment Tools and Practices for Information System Security." Supplement to Financial Institution Letter 131-97: "Security Risks Associated With the Internet."
	Fisher, David A. and Howard F. Lipson. January 1999. "Emergent Algorithms: A New Method of Enhancing Survivability in Unbounded Systems." Published in the Proceedings of the Hawaii International Conference on System Sciences. IEEE: Maui, Hawaii.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.